

# The Role of Astrocytes in the Relationship Between Amyloid- $\beta$ Accumulation and Synaptic Dysfunction in Alzheimer's Disease

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## ABSTRACT

Alzheimer's disease is a progressive neurodegenerative disorder and is the most common cause of dementia worldwide. The disease is characterized by the accumulation of Amyloid- $\beta$  plaques, aggregation of tau protein resulting in neurofibrillary tangles, and the dysfunction of neuronal synapses, all of which lead to cognitive impairment and memory loss. Although previous research has focused more on the neuronal aspect of Alzheimer's disease, recent research has implicated the role of glial cells, most notably astrocytes, to have a significant impact on the disease's pathogenesis. Astrocytes are the most abundant type of glial cell in the central nervous system and are important in maintaining neuron homeostasis through their various functions in gliotransmission, phagocytosis, and synaptic regulation. The main objective of this review is to examine the role of astrocytes in Alzheimer's disease, specifically in the relationship between Amyloid- $\beta$  accumulation and synaptic dysfunction. After reviewing the literature, it can be concluded that Amyloid- $\beta$  accumulation induces several changes in astrocytic functions that promote the malfunction of synaptic transmission, thus resulting in synaptic dysfunction in Alzheimer's Disease. As there has been no cure or highly efficient treatment for Alzheimer's disease thus far, further research into the role of astrocytes in the relationship between Amyloid- $\beta$  accumulation and synaptic dysfunction in the disease could provide alternative pathways and targets for therapeutic treatment.

## **Introduction**

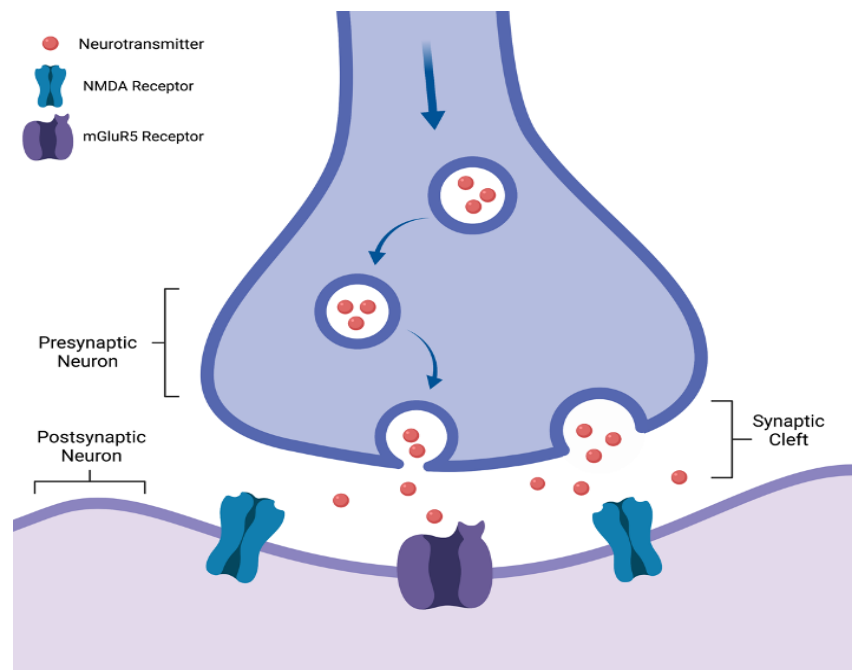
Alzheimer's disease (AD) is the most prevalent cause of dementia worldwide. AD is a neurodegenerative disorder that extensively affects areas of the cerebral cortex and hippocampus resulting in clinical symptoms of progressive cognitive impairment and memory loss. Other symptoms that can be seen in AD include impairment and changes to mood and behavior, loss of ability to communicate and complete everyday tasks, and withdrawal from social interaction. The severity of these symptoms varies based on the stage of AD with preclinical AD being the earliest and least severe, to mild cognitive impairment (MCI), and finally AD dementia (Chun & Lee, 2018). AD symptoms can also be affected by other non-neuronal cells, most notably by astrocytes. Astrocytes are the most abundant type of glial cell found in the central nervous system (CNS). Astrocytes maintain structural, metabolic, and guidance support for neurons in the CNS and have been implicated in having a major role in the pathogenesis of several neurodegenerative disorders including AD (Acosta et al., 2017).

The two main types of AD are familial early-onset and sporadic late-onset. Sporadic late-onset, which typically occurs in those who are 65 years or older, comprises the majority of AD cases (95%) and is associated with environmental factors or aging (Masters et al., 2015). Familial early-onset, which makes up less than 5% of AD cases, is associated with inherited genetic mutations of AD-related proteins including the amyloid precursor protein (APP), presenilin 1 (PS1) and PS2. All of these genes are involved in the accumulation of Amyloid- $\beta$  (A $\beta$ ), a key characteristic of AD pathology.

Molecular AD pathology is characterized by the abnormal formation of insoluble forms of extracellular A $\beta$  plaques and the aggregation of the highly-phosphorylated protein tau within intracellular neurofibrillary

tangles (NFTs) (refs). A $\beta$  is formed through the proteolytic cleavage of APP, a protein associated with the formation of toxic A $\beta$  peptides, by the enzymes  $\gamma$ -secretases and  $\beta$ -secretases, which include PS1 and PS2, often resulting in the formation of the isoforms A $\beta$ 42 and A $\beta$ 40. A $\beta$ 42 is considered to be toxic as it exhibits amyloidogenic characteristics making it more prone to A $\beta$  aggregation. Mutations in the genes APP, PS1, and PS2 have been shown to increase the A $\beta$ 42 to A $\beta$ 40 ratio, thus furthering the rate of A $\beta$  aggregation and increasing neuronal cell toxicity (De Mena et al., 2020). The amyloid hypothesis claims that the formation of extracellular A $\beta$  plaques is the main cause of AD (Selkoe & Hardy, 2016). However, recent studies have found that non-A $\beta$  factors such as tau, a protein that stabilizes neuronal structure, and apolipoprotein E (APOE) accumulation, a protein that metabolizes fats, are also a significant part of AD pathogenesis (Morris et al., 2014). Nonetheless, A $\beta$  accumulation is still a substantial factor in AD pathology. A $\beta$  accumulation first forms soluble A $\beta$  oligomers before depositing as A $\beta$  fibrils and eventually A $\beta$  plaques (Chen et al., 2017). It has been shown that A $\beta$  fibrils contribute significantly to neuronal death and memory loss in AD, however, recent studies have revealed that soluble A $\beta$  oligomers may have a more damaging effect on neurons. Recent studies have also revealed that soluble A $\beta$  forms, like A $\beta$  oligomers and peptides, play an important role in the proinflammatory activation of primary microglia, the resident immune cells of the CNS (Sondag et al., 2009). A $\beta$  oligomers and peptides have also been shown to induce the release of astrocytic proinflammatory mediators leading to further synaptic dysfunction in neurons (Matos et al., 2008).

Synaptic dysfunction has been proven to be a key characteristic in AD pathology. Synapses are the basic unit of information transduction among neurons in the brain. Synapses mainly form between axons and dendrites and are made up of a presynaptic neuron (signal transmitter), synaptic cleft (gap between neurons), and a postsynaptic neuron (signal receiver) as modeled by Figure 1 (Südhof, 2018). Receptors involved in synaptic functioning include metabotropic glutamate receptors type 5 (mGluR5) and N-Methyl-D-Aspartate (NMDA) receptors. The mGluR5 receptor is a G-protein coupled receptor that plays a key role in neuronal Calcium release.

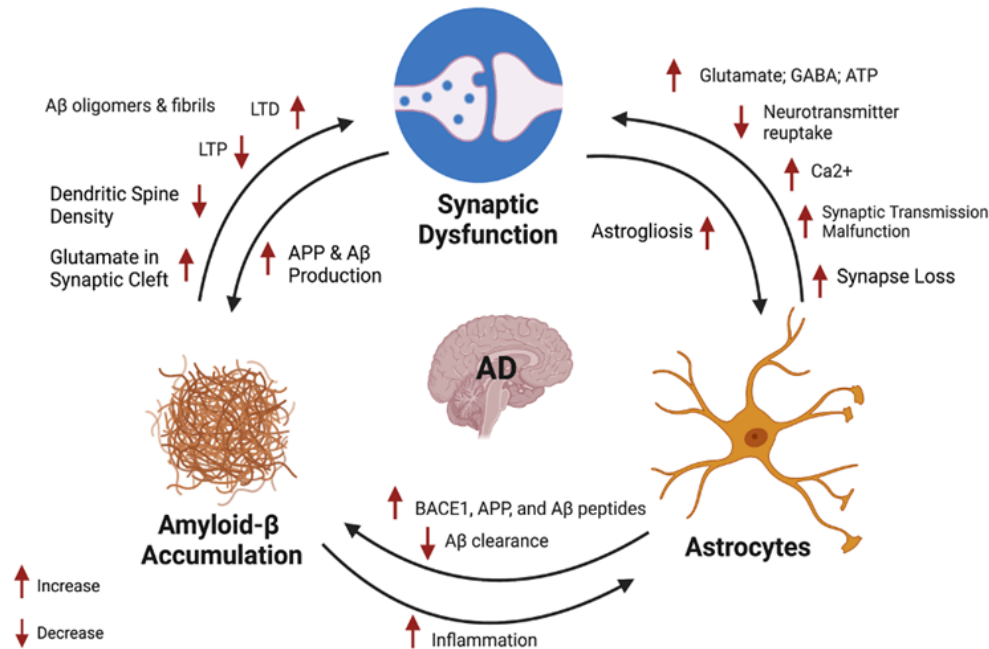


**Figure 1.** Depiction of the basic structure of the synapse with the presynaptic neuron, synaptic cleft, and Postsynaptic neuron. Neurotransmitters are entering the NMDA and mGluR5 receptors through the synaptic cleft.

In particular, NMDA plays a central role in synaptic performance as NMDA receptor activation can induce either long-term potentiation (LTP), a process associated with synaptic strengthening, or long-term depression (LTD), a process associated with synaptic deterioration (Alifragis & Marsh, 2018). Synaptic plasticity describes the ability of synapses to strengthen or weaken over time in response to changes in their activation making them a key component of learning and memory. Both LTP and LTD are factors that affect synaptic plasticity with LTP enhancing synaptic strength and LTD depressing it. Studies have shown that aberrant functioning of synapses via synaptic loss and deregulation are associated with cognitive decline and memory loss in AD patients (Chen et al., 2019). Synaptic dysfunction in AD occurs as a result of the malfunction of certain synaptic mechanisms including receptor activation, gliotransmitter release, and presynaptic and postsynaptic regulation (Chen et al., 2019). Additionally, A $\beta$  has also been shown to contribute to synaptic dysfunction as studies have proven that A $\beta$  oligomers bind to synaptic sites to regulate the activation of NMDA, mGluR5, and other synaptic membrane receptors (Li & Selkoe, 2020).

Astrocytes play a role in the regulation of synaptic transmission between neurons via their proximity to synapses and their release and reuptake of gliotransmitters. Astrocytes in the CNS are closely associated with synapses allowing them to monitor and alter synaptic function and modulate synaptic activity through their gliotransmitter abilities (Chung et al., 2015). Astrocytes can release several gliotransmitters including glutamate, GABA, ATP, and D-Serine (refs). Studies on AD mouse models have revealed that astrocyte gliotransmission, the process of neuroactive chemicals being released from astrocytes, is impaired in AD, causing excessive GABA release into the extracellular space in the brain, thus resulting in the inhibition of glutamate release and neuronal activity alongside memory impairment (Harada et al., 2016). Recent studies have also shown that the Ca<sup>2+</sup>-dependent release of the gliotransmitter D-serine from astrocytes has been shown to control NMDAR, the receptor of NMDA, dependent synaptic plasticity in excitatory synapses (Henneberger et al., 2010). These findings indicate a crucial role of astrocytic gliotransmission in impairing synaptic transmission in AD. Furthermore, during injury, disease, and other toxic conditions, astrocytes become reactive and undergo morphological and metabolic changes in a process called astrogliosis. In acute models of astrogliosis, astrocytes have been found to increase their expression of genes involved in protein synthesis and antioxidant defense in response to neurodegeneration (Das et al., 2020). Astrogliosis occurs at a rapid pace in AD in response to the formation of NFTs and A $\beta$  accumulation. Soluble A $\beta$  oligomers cause astrogliosis to occur at higher rates, effectively furthering AD pathogenesis (Sturcher-Pierrat & Staufenbiel, 2006).

As astrocytes are the most abundant glial cells in the CNS, impairment in their relationship with A $\beta$  accumulation and synaptic dysfunction can further promote neuronal degeneration in AD (Figure 2). This review aims to analyze the different roles of astrocytes in the relationship between A $\beta$  accumulation and synaptic dysfunction, and how this is relevant in AD pathogenesis.



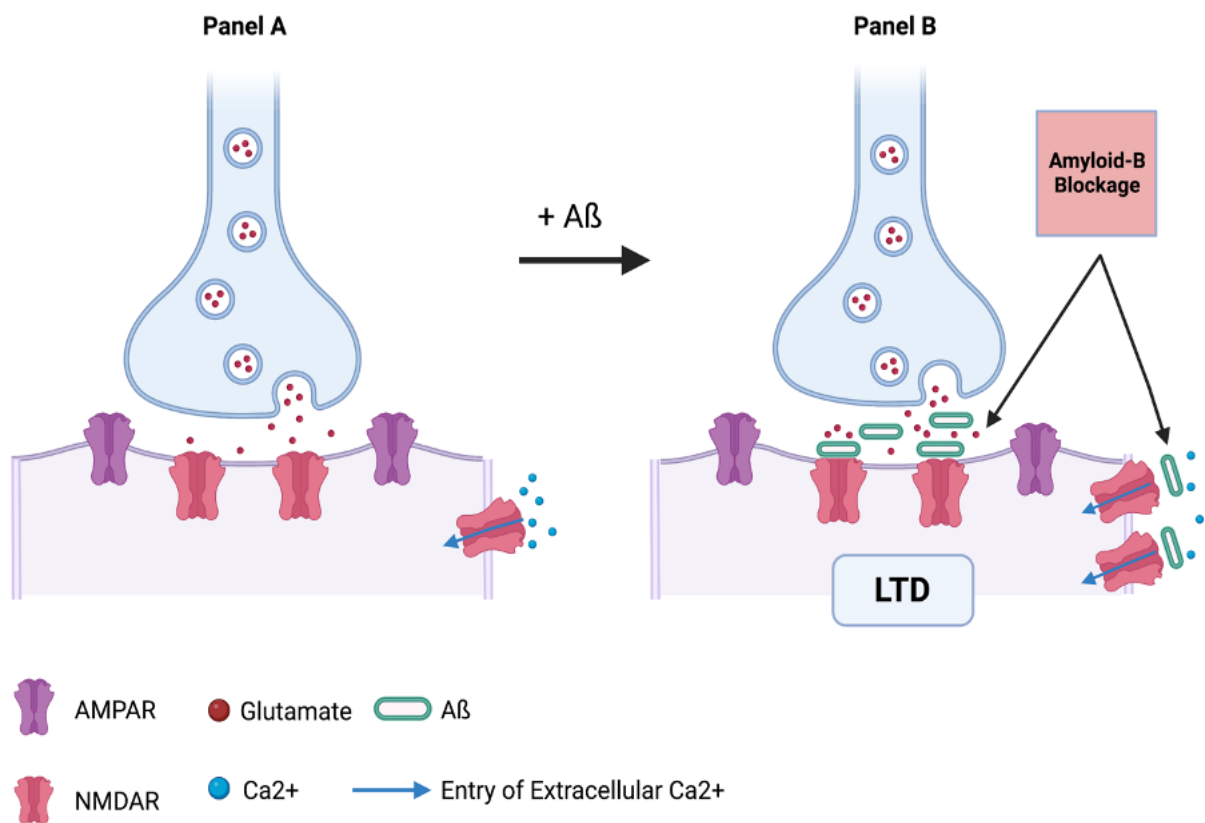
**Figure 2.** Representation of the relationship between Astrocytes, Amyloid- $\beta$  Accumulation, and Synaptic Dysfunction in AD. A $\beta$  accumulation causes decreased dendritic spine density and LTP induction alongside imbalances in neurotransmitter levels in the synaptic cleft, and LTD activation. Neurons and synapses are in turn sources of APP and A $\beta$  production. Astrocytes contribute to A $\beta$  accumulation by increased BACE1, APP, and A $\beta$  peptide production alongside decreased A $\beta$  clearance. A $\beta$  accumulation affects astrocytes by inducing inflammation. Astrocytes trigger synaptic dysfunction by causing synapse loss, synaptic transmission malfunction, Ca $^{2+}$  increase, and excessive release and reuptake of the gliotransmitters glutamate, GABA, and ATP. Synaptic dysfunction affects astrocytes by increasing the rate of astrogliosis in AD, thus causing severe disparities in astrocytic functioning.

## The Relationship Between A $\beta$ Accumulation and Synaptic Dysfunction in AD

A $\beta$  accumulation has been proven to have a significant role in modulating synaptic transmission both presynaptically and postsynaptically. Research has shown that soluble forms of A $\beta$  oligomers are more potent than other forms of A $\beta$  in causing synaptic dysfunction and impairment. Increased levels of soluble A $\beta$  oligomers have been shown to disrupt synaptic plasticity by inducing LTD in the CA1 region of the hippocampus, which is heavily involved in memory formation and retention, and decreasing glutamate reuptake in the synapse (Li et al., 2009). APP undergoes proteolytic cleavage resulting in the formation of A $\beta$  peptides. A $\beta$  peptides then start to aggregate to first form A $\beta$  oligomers, then A $\beta$  fibrils, and in the end ultimately form A $\beta$  plaques. Thus, APP has been shown to modulate neuronal excitability by depressing excitatory synaptic transmission (Kamenetz et al., 2003) and inducing post-synaptic depression (Mucke & Selkoe, 2012). Additionally, studies have shown that APP transgenic mice which contained the Swedish mutation (increases abnormal cleavage of APP) showed deficits in synaptic transmission and communication long before detectable signs of extracellular A $\beta$  plaque formation (Holcomb et al., 1999). These findings suggest that neuronal A $\beta$  peptide accumulation via APP cleavage can induce impairments in synaptic function early on in AD. Furthermore, increased levels of A $\beta$ 42 induced by APP have been implicated in memory loss and cognitive decline in early forms of AD

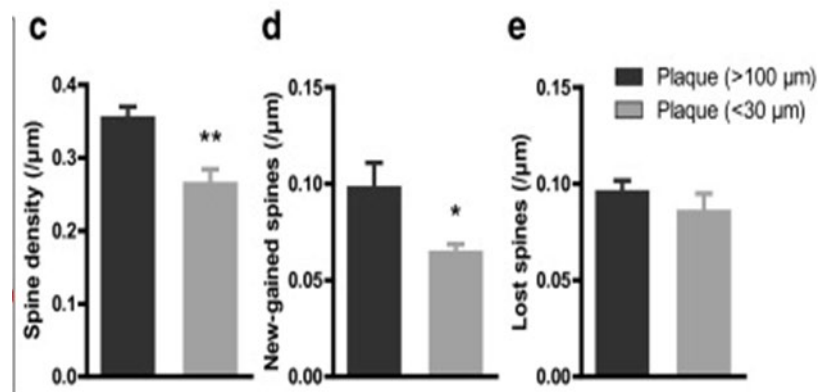
(Alifragis & Marsh, 2018). All of these mechanisms involving APP processing and A $\beta$  accumulation thus result in impairments to the synaptic transmission of neurons in AD.

Disruptions in neuronal synaptic plasticity is an early pathological symptom of AD that can indicate cognitive decline. Synaptic plasticity is mainly regulated by the amount of active AMPA receptors (AMPA) and NMDARs receptors at the synapse. Both AMPARs and NMDARs regulate LTP and LTD induction, the major forms of synaptic plasticity that underlie cellular mechanisms of learning and memory. Oligomeric A $\beta$  peptides have been shown to activate extrasynaptic NMDARs mainly composed of the glutamate subtypes GluN2B, which interacts with proteins in synaptic plasticity resulting in the blockage of LTP (Kervern et al., 2012). It was also revealed that A $\beta$  oligomers switched the direction of synaptic plasticity to favor synaptic depression under high-frequency conditions. In order to activate synaptic NMDARs for LTP, a large increase in Ca $^{2+}$  is needed while the activation of synaptic NMDARs for LTD requires a slight increase in Ca $^{2+}$  levels. Soluble A $\beta$  oligomers reduce NMDAR-mediated calcium influx levels in hippocampal neurons by blocking Ca $^{2+}$  transmission resulting in the suppression of LTP and onset of LTD (Figure 3) (Liang et al., 2017). All of the mechanisms described above either enhance LTD, impair LTP, or do both. However, it should be noted that these observations are all seen in A $\beta$  oligomers not A $\beta$  monomers.



**Figure 3.** Depicts the change in synaptic transmission once A $\beta$  is added. The synapse on the left shows normal glutamate and Ca $^{2+}$  transmission without A $\beta$ . The synapse on the right indicates what occurs when A $\beta$  is added into the synaptic cleft. A $\beta$  is blocking both glutamate and Ca $^{2+}$  from binding to NMDAR receptors resulting in a decrease of both glutamate and extracellular Ca $^{2+}$  entry into the synapse. The decrease in glutamate and Ca $^{2+}$  levels then triggers LTD.

A $\beta$  accumulation can lead to the weakening of dendritic spines and synaptic loss, leading to LTD induction and LTP inhibition in AD. Dendritic spines are the part of the synapse that function as the center of synaptic strength and help transmit electrical signals to other neurons. Decreases in dendritic spine density can represent decreases in synaptic plasticity and strength for neurons. LTP promotes the growth of the dendritic spine while LTD induces dendritic spine shrinkage (Kullmann & Lamsa, 2007). A $\beta$  oligomers have been shown to trigger dendritic spine density reduction in hippocampal pyramidal neurons via the induction of LTD as seen in Figure 4 (Liu et al., 2004). Additionally, studies have revealed that A $\beta$  oligomers inhibit LTP in excitatory synapses through the blockage of NMDAR receptors, resulting in decreased dendritic spines, consequently causing interferences in the memory and behavior of adult mice (Figure 4)(Kervern et al., 2012). Furthermore, a recent study showed that A $\beta$  oligomers surrounding A $\beta$  plaques were associated with a phenotype of postsynaptic density shrinkage and synaptic loss. The study hypothesized that the plaques acted as a reservoir of soluble A $\beta$  oligomers, resulting in synaptic loss and toxicity in the cerebral cortex (Koffie et al., 2009).



**Figure 4.** Indicates the decrease of dendritic spine density after A $\beta$  is added (From Dorostkar et al., 2015).

Soluble A $\beta$  oligomers can also affect the activation of NMDARs and LTD induction by increasing glutamate levels. Glutamate is a major excitatory neurotransmitter that mediates the intensity of synaptic signaling between neurons. A $\beta$  oligomers block neuronal glutamate uptake at synapses leading to increased glutamate levels in the synaptic cleft and LTD induction (Li et al., 2009). This reaction occurs because increased glutamate causes the initial activation of NMDARs but also results in the desensitization of the receptors, thus limiting calcium influx during repeated synaptic stimulation that could induce synaptic strength. As there is now significantly more glutamate than NMDAR receptors are equipped to handle, synaptic depression occurs. Furthermore, a study showed that neurons that were briefly exposed to A $\beta$  peptides increased their LTP, while higher concentration of A $\beta$  peptides or longer exposure of A $\beta$  peptides to the neurons resulted in decreased excitatory postsynaptic potential and inhibited LTP (Puzzo et al., 2008). This mechanism could potentially be the result of A $\beta$  oligomers increasing glutamate levels in the synaptic cleft alongside increasing the activation of NMDARs.

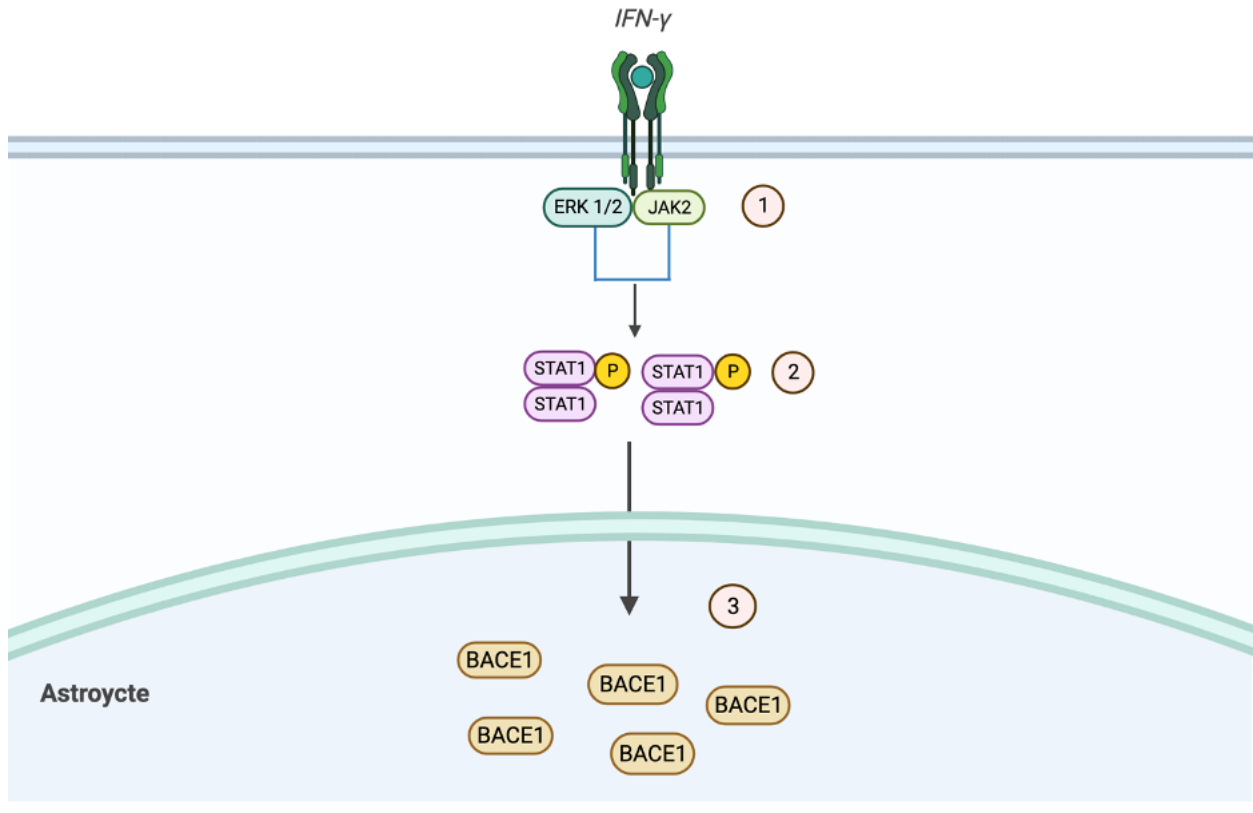
Synaptic dysfunction can also in return affect A $\beta$  accumulation in AD. The production and secretion of A $\beta$  into the synaptic cleft is tightly regulated by neuronal activity, with high neuronal activity enhancing A $\beta$  production and low neuronal activity having the opposite effect. Moreover, synaptic vesicle release has been shown to be the primary mediator of changes in extracellular A $\beta$  levels that are linked to synaptic activity in



vivo (Cirrito et al., 2005). Synaptic dendrites have also been shown to release pathogenic A $\beta$  species (Wei et al., 2009). This relationship between A $\beta$  accumulation and synaptic dysfunction has been hypothesized to be a potential therapeutic treatment option for AD (Mucke & Selkoe, 2012).

## **Astrocytes in A $\beta$ Accumulation**

Astrocytes have a role in contributing to A $\beta$  accumulation in AD by having a hand in the production of A $\beta$ . Beta-secretase (BACE1) is an enzyme that cleaves APP at  $\beta$ -secretase sites causing it to be a necessary prerequisite for  $\beta$ -amyloid accumulation. BACE1 has also been shown to increase the rate of A $\beta$  plaque aggregation causing it to be a key risk factor in AD (Cole & Vassar, 2007). Neurons have been shown to be the main source of A $\beta$  peptide production as BACE1 is mainly expressed and localized around neurons in the brain (Cole & Vassar, 2007). However, recent studies have shown that specific types of glia, most notably astrocytes, could prove to be an alternative source of BACE1 especially under certain neuroinflammatory conditions. Cytokines regulate the host response to infection and proinflammatory cytokines are a type of cytokine that act to make a disease worse under certain neuroinflammatory conditions. Studies have shown that the treatment of the pro-inflammatory cytokine interferon  $\gamma$  (IFN $\gamma$ ) into mouse brains resulted in increased levels of BACE1 expression within astrocytes (Hong et al., 2003). The Janus Kinase Pathway 2 (JAK2) is a signaling pathway associated with triggering inflammatory responses and the Extracellular Signal-regulated Kinase  $\frac{1}{2}$  (ERK  $\frac{1}{2}$ ) signaling pathway participates in intracellular signal transduction (Hong et al., 2003). IFN $\gamma$  has been shown to activate the JAK and ERK1/2 signaling pathways resulting in phosphorylated STAT1 to bind to the putative STAT1 binding sequences in the BACE1 promoter region to modulate further BACE1 expression in astrocytes as depicted in Figure 4 (Cho et al., 2007). It has been hypothesized that the release of pro-inflammatory cytokines like IFN $\gamma$  by microglia can result in the induction of astrocytic BACE1 expression, effectively triggering the formation of  $\beta$ -amyloid plaques (Smith et al., 2012). In fact, other proinflammatory cytokines alongside IFN $\gamma$  including tumor necrosis factor  $\alpha$  (TNF $\alpha$ ) and interleukin  $\beta$  (IL1 $\beta$ ) have been shown to increase the production of the A $\beta$ 1-40 and A $\beta$ 1-42 proteins in primary human astrocytes and astrocytic cell lines (Figure 5; Blasko et al., 2000). These findings indicate how astrocytes could contribute to further harmful A $\beta$  accumulation in AD.



**Figure 5.** Depicts the process of IFN $\gamma$  increasing BACE1 expression in Astrocytes. 1) IFN $\gamma$  activating the JAK2 & ERK 1/2 signaling pathways. 2) Activation of JAK2 & ERK 1/2 signaling pathways trigger phosphorylated STAT1 to bind to Putative STAT1. 3) Binding triggers increased BACE1 expression in Astrocytes.

Additionally, the APOE4 genotype, the strongest risk factor for AD, under certain neuroinflammatory-driven conditions has been connected to furthering proinflammatory cytokine production, neurotoxicity, and A $\beta$  in AD. Astrocytes and microglia are the primary producers of APOE in the brain. Several *in vivo* studies have shown that when lipopolysaccharide (LPS), an endotoxin that stimulates immune responses, is injected into APOE4 mice, higher levels of IL1 $\beta$  and TNF $\alpha$  can be seen (Lynch et al., 2003; Zhu et al., 2012). Recently, a study showed that only APOE4 mice, not APOE3 mice, led to increased neurotoxicity in mice (Maezawa et al., 2006). Increased toxicity of APOE4 has been correlated with higher proinflammatory cytokines levels, thus contributing to astrocytes neurotoxic role in AD. Furthermore, this secretion of proinflammatory cytokines by APOE4 further contribute to A $\beta$  production and accumulation in AD.

Furthermore, it has been proposed that an increase in BACE1 expression in astrocytes may be responsible for the localized increase of amyloidogenic AP, associated with the creation of A $\beta$  peptides, fragment accumulation (Rossner et al., 2005). Studies have shown that reactive astrocytes can express APP during chronic gliosis (Martins et al., 2001) which can result in the increased accumulation of A $\beta$  peptides and BACE1 fragments (Zhao et al., 2007). It should be noted, however, that the increased astrocytic BACE1 expression only contributes to the pathogenesis of AD if the astrocytes also express the BACE1 substrate APP. Although previous research has shown that BACE1 is mainly expressed in neurons, the expression of BACE1 in astrocytes would still greatly contribute to A $\beta$  accumulation in AD, given the vast quantity of astrocytes in the brain.

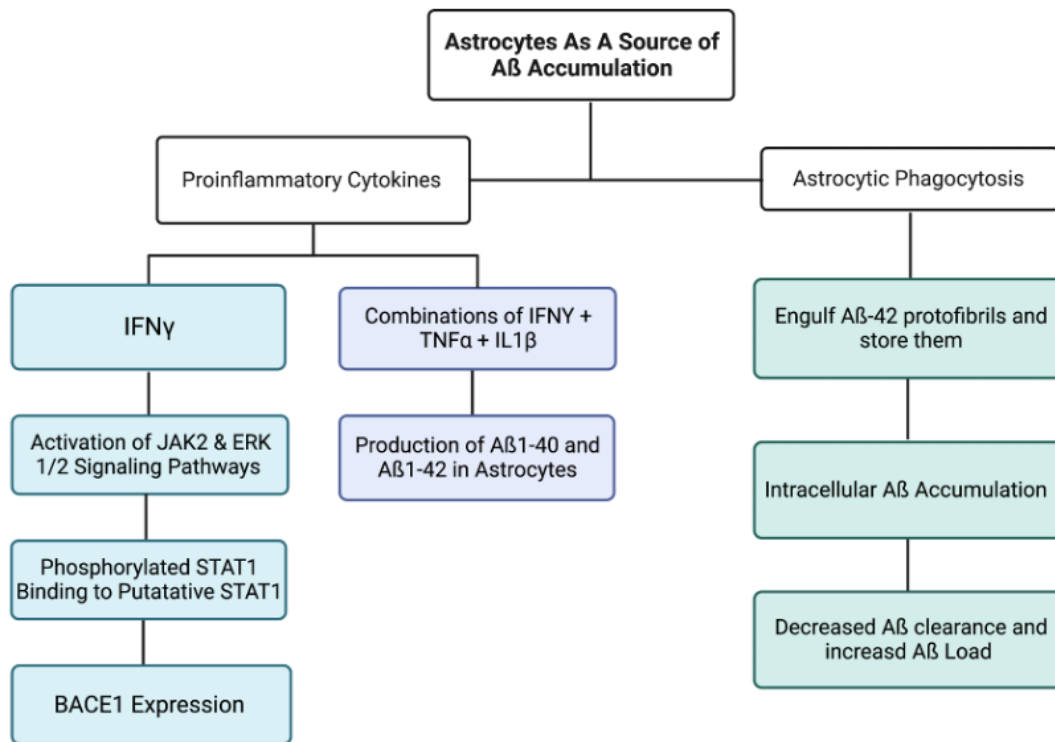
Zhao et al. proposes a feed-forward mechanism where A $\beta$  induced-inflammation results in reactive astrocytes release of A $\beta$  prerequisite proteins leading to A $\beta$  accumulation (Zhao et al., 2011). In this study Zhao



et al. utilized mouse primary activated astrocytes and found that proinflammatory cytokine combinations of  $\text{TNF}\alpha$ + $\text{IFN}\gamma$  stimulated an increase in BACE1, APP, and  $\text{A}\beta$  in astrocytes. Thus, resulting in the formation of  $\text{A}\beta_{42}$  oligomers and fibrils which maintained and elevated cerebral  $\text{A}\beta$  levels to induce chronic inflammation. These findings suggest the involvement of a vicious and continuous cycle of  $\text{A}\beta$  accumulation by activated astrocytes in AD.

Astrocytes also contribute to  $\text{A}\beta$  accumulation by affecting  $\text{A}\beta$  deposition and clearance. Astrocytes have phagocytic abilities that allow them to ingest and destroy toxic materials in place of other dysfunctional microglia through their phagocytic receptors, Axl and Mertk (Konishi et al., 2020). During the early stages of AD, astrocytes have been shown to be more effective than other microglia in clearing  $\text{A}\beta$  (Nielsen et al., 2010). Cultured astrocytes engulf  $\text{A}\beta$  in a process conditional to the  $\text{A}\beta$  binding receptors CD36 and CD47 (Jones et al., 2012) and at times are reliant on APOE that is localized to plaques-associated reactive astrocyte mechanism for the degradation of  $\text{A}\beta$  (Jiang et al., 2008). Astrocytes have also been shown to take longer in degrading ingested cells compared to other microglia (Lööv et al., 2015), which can lead to  $\text{A}\beta$  being stored in astrocytes for longer periods of time (Figure 6). Extracellular astrocytic deposition and degradation of  $\text{A}\beta$  has been shown to be influenced by the amyloid-degrading peptidase protease neprilysin (El-Amouri et al., 2008). Intracellular astrocytic degradation of  $\text{A}\beta$ , however, is influenced by lysosomal pathways as lysosome biosynthesis can improve  $\text{A}\beta$  clearance and reduce  $\text{A}\beta$  load (Xiao et al., 2014).

Impairments to astrocytes phagocytic abilities can lead to further  $\text{A}\beta$  accumulation. Recent studies have shown that astrocytes engulf large amounts of  $\text{A}\beta_{42}$  protofibrils and store them for long periods of time rather than degrade them (Söllvander et al., 2016). This intracellular  $\text{A}\beta$  accumulation caused severe endosomal and lysosomal deficiencies which resulted in the reduction of astrocytes degradation capacity, decreased  $\text{A}\beta$  clearance, and increased  $\text{A}\beta$  load (Figure 6). This accumulation of  $\text{A}\beta$  within astrocytes yielded the formation of enlarged astrocytic endosomes. Thus, the inefficient degradation of  $\text{A}\beta$  in astrocytes can lead to increased  $\text{A}\beta$  build up, furthering the pathogenesis of AD.  $\text{A}\beta$  accumulation has also been shown to trigger a neuroinflammatory response in the brain resulting in  $\text{A}\beta$  controlling the activation of certain inflammatory responses which can then determine the stimulation of astrocytes' phagocytic mechanism to uptake and clear  $\text{A}\beta$  from the brain (Fiala & Veerhuis, 2010). The amount of  $\text{A}\beta$  accumulation that occurs determines whether these proinflammatory systems are activated or not demonstrating how  $\text{A}\beta$  aggregation influences astrocytic inflammatory response.

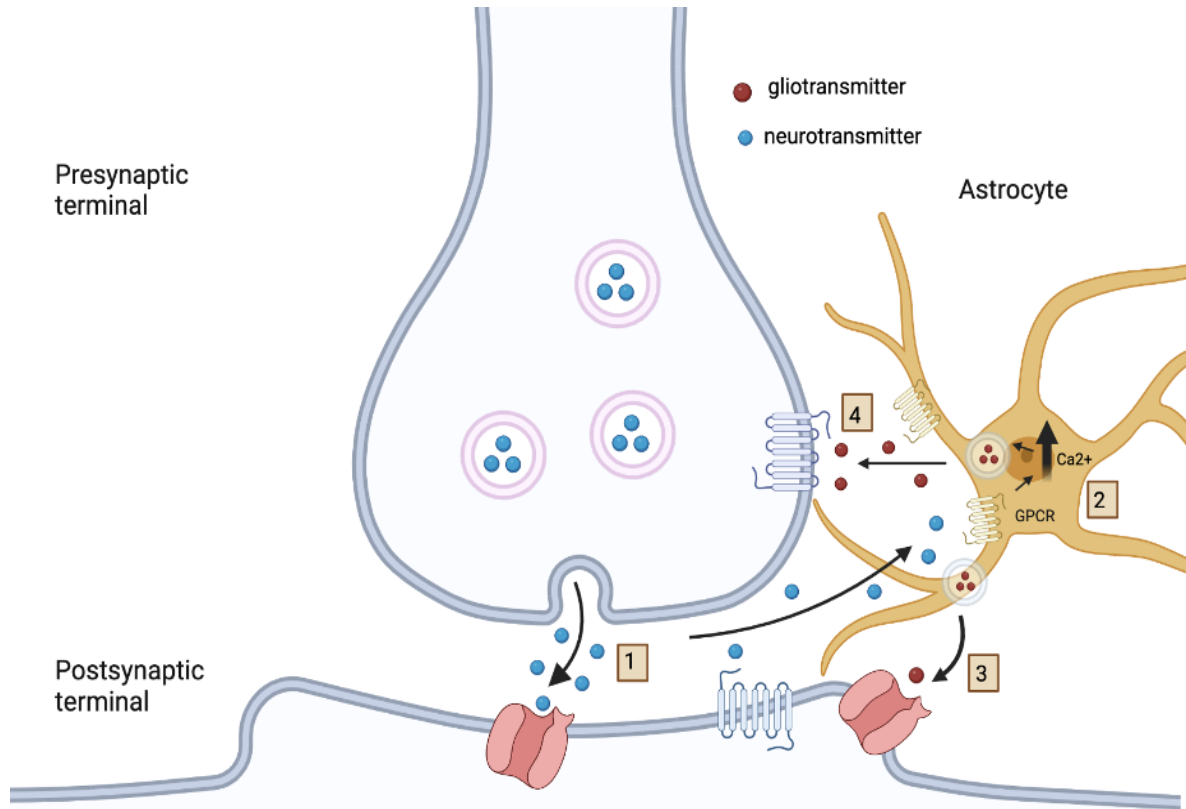


**Figure 6.** Chart summarizing how astrocytes can be a source of A $\beta$  accumulation through proinflammatory cytokines or astrocytic phagocytosis.

## Astrocytes in Synaptic Dysfunction

### Astrocytic Gliotransmission & Calcium Level Impairment

As discussed before, astrocytes have the ability to control synaptic transmission through the process of astrocytic gliotransmission. During synaptic activity, the release of neurotransmitters results in changes to intracellular calcium levels in astrocytes. Astrocytes express several G-protein-coupled receptors (GPCRs), transmembrane proteins that convert extracellular signals into intracellular responses, which react to neurotransmitters through the arousal of inositol triphosphate type 2 receptors (IP3R2), a critical component in the astrocytic-synaptic signaling pathway, to mediate calcium release in the synapse (Figure 7) (Kofuji & Araque, 2021). Astrocytes themselves use the chemical transmitter Ca<sup>2+</sup> for intracellular communication resulting in astrocytic control of the voltage-gated Ca<sup>2+</sup> channels (VCGG) in the cell membrane (Kim et al., 2019). In situ and in vivo studies have shown that temporary rises in intracellular Ca<sup>2+</sup> concentration have been seen in astrocytes, causing Ca<sup>2+</sup> to be an important part of astrocytic gliotransmitter release (Fiacco et al., 2007)(Agulhon et al., 2010). Astrocytic gliotransmission has been proven to modulate neuronal activity and synaptic transmission in several brain regions including the basal ganglia (Martín et al., 2015) and cerebral cortex (Poskanzer & Yuste, 2016) in AD. This bidirectional exchange of information between astrocytes and neurons can be seen in the concept of the tripartite synapse that shows astrocytes as essential to presynaptic and postsynaptic processes.



**Figure 7.** Representation of tripartite synapse. The tripartite synapse is made of presynaptic and postsynaptic processes with astrocytes enwrapping the synapses. (1) The release of neurotransmitters from the presynaptic terminal acts on astrocytic receptors that mediate intracellular calcium elevation with GPCRs. (2) Calcium elevation triggers the release of gliotransmitters (D-serine, GABA, Glutamate) which bind to the postsynaptic terminal receptors (3) or presynaptic receptors (4) in order to modulate synaptic transmission. (Adapted from Nanclares et al., 2021).

Gliotransmitters can be released through either a storage compartment by exocytosis or from the cytosol via plasma membrane ion channels such as purinergic P2X7 channels and volume-regulated anion channels (Hamilton & Attwell, 2010). However, Ca<sup>2+</sup> exocytosis has been shown to be the major mechanism for the release of important gliotransmitters (D-serine, GABA and glutamate) in astrocytes as well as synaptic activity. Regulated Ca<sup>2+</sup> exocytosis in neurons is triggered when an action potential reaches the axon terminals to provoke Ca<sup>2+</sup> increase leading to the dependent fusion of synaptic vesicles (SVs) with the plasma membrane (Jahn & Fasshauer, 2012). Studies have revealed that astrocytes express VAMP2, a soluble NSF attachment protein receptor that modulates neurotransmitter vesicle release, raising the question of whether regulated exocytosis is the mechanism behind astrocytes' modulatory abilities in synaptic function and plasticity (Parpura et al., 1995). Experimental studies have attempted to answer this question and two key findings arose: the first being that the infusion of Ca<sup>2+</sup> buffer solutions in astrocytes led to the disruption of regular synaptic activity (Panatier et al., 2011) and the second being that this agitation of synaptic properties in the neuronal circuit was potentially the result of VAMP2 exocytosis from astrocytes (Schiavo et al., 1992). These findings suggest that astrocytes could participate in exocytosis, implying a deeper role of astrocytes in synaptic plasticity and modulation.

## Astrocytic Gliotransmitters in Synaptic Dysfunction

### *Glutamate & mGluR5*

Glutamate is one of the main gliotransmitters released from astrocytes that allows them to play a role in pre-synaptic and postsynaptic functions. Astrocytes are key regulators of glutamate homeostasis through their regulation of glutamate release and reuptake (Mahmoud et al. 2019). Astrocytes express certain glutamate transporters including EAAT1 and EAAT2, which regulate glutamate uptake in the synapse, excitatory synaptic transmission, and long-term synaptic plasticity in AD (Valtcheva & Venance, 2019; Scimemi et al., 2013). Studies have shown that slow glutamate release impairs LTP and mediates the strength and direction of synaptic plasticity (Barnes et al., 2020). Astrocytic glutamate potentiates excitatory transmission in the hippocampal dentate gyrus, which is essential in memory formation, by acting on presynaptic NMDARs (Jourdain et al., 2007). In fact, one study showed that astrocytic glutamate-mediated the timing of LTD during excitatory transmission in the neocortex by activating presynaptic NMDARs (Min & Nevian, 2012). Another study showed that in the CA1 hippocampal region, astrocytic glutamate has been shown to strengthen inhibitory transmission by acting on presynaptic kainate receptors (Liu et al., 2004). Furthermore, the amino acid D-serine is a coagonist of glutamate in the activation of excitatory NMDA receptors (Peters et al., 2009). At the synaptic cleft, the calcium-dependent release of D-serine from astrocytes regulates NMDA receptor-dependent processes such as excitatory synaptic transmission and synaptic plasticity (Hennenberg et al., 2010). The withdrawal of astrocytic ensheathment of the synaptic cleft during lactation reduces levels of D-serine and leads to the induction of LTD (Panatier & Robitaille 2016). These findings thus provide a clear example of metaplasticity of synaptic transmission mediated by astrocytes through the release of the glutamate coagonist d-serine. Shrivastava et al. 2013 showed in their study that astrocytic calcium dysregulation resulted in synaptic transmission imbalances. Another study, however, had a different interpretation of mGluR5 role in synaptic transmission. Sun et al. suggested that a decrease in astrocytic mGluR5 causes a refinement of the synaptic circuitry leading to restrictions in the expression of presynaptic receptors which is needed in the tripartite modulation model (Figure 7) (Sun et al., 2013). Astrocytic glutamate has been shown to be a major participant in the synaptic plasticity, modulation, and transmission of neurons.

### *ATP*

Adenosine triphosphate (ATP) is a neurotransmitter that mediates synaptic potential through ligand-gated cation channels (P2X receptors) and G-protein coupled receptors (P2Y receptors). ATP has been shown to be released from astrocytes as a gliotransmitter (Harada et al., 2016). Studies have revealed that astrocytes release ATP through a calcium-dependent manner via exocytosis from synaptic vesicles (Pangršič et al., 2007). Thus, increased calcium levels could trigger further ATP release in astrocytes to initiate synaptic transmission. Studies have also revealed that ATP is the primary active messenger in the extracellular communication between astrocytes (Guthrie et al., 1999). Additionally, ATP has been implicated as the excitatory medium necessary for calcium wave stimulation in astrocytes. Further research done by this group of researchers showed that ATP, mediated by P2Y1 receptors, is the predominant cause of intracellular calcium waves in hippocampal astrocytes (Bowser & Khakh, 2007). The significance of astrocytic gliotransmission of ATP in the mediation of intracellular calcium waves further implicates astrocytic involvement in synaptic transmission in AD.

ATP has also been implicated in contributing to the neuroprotective role of astrocytes in AD. One study conducted by Jung et al. showed that exogenous ATP prevented A $\beta$ 42-induced reduction of synaptic molecule levels in cultured primary hippocampal neurons (Jung et al., 2012). Additionally, ATP was shown to have restored A $\beta$ -42 mediated reduction of synaptic proteins and protected A $\beta$ -42 mediated dendritic spine loss. These protective roles adopted by ATP contribute to the neuroprotective role of astrocytes in AD, as astrocytes release ATP themselves.

## GABA

GABA is a type of inhibitory gliotransmitters that is released by astrocytes. Those who have AD show high GABA levels in their cerebrospinal fluid contributing to memory impairment (Farrant & Nusser, 2005). GABA is mainly released from reactive astrocytes through the GABA-permeable bestrophin 1 (Best1) channel (Lee et al., 2010). Recent studies have shown that in the hippocampus, Best1 is highly expressed at the astrocytic microdomains near synapses (Han et al., 2013). Additionally, the GABA released from reactive astrocytes have been shown to inhibit dentanule neurate grons resulting in impairment to LTP spike probability (Jo et al., 2014). Thus, astrocytic GABA can inhibit synaptic plasticity in certain brain regions, resulting in memory impairments and further AD pathogenesis.

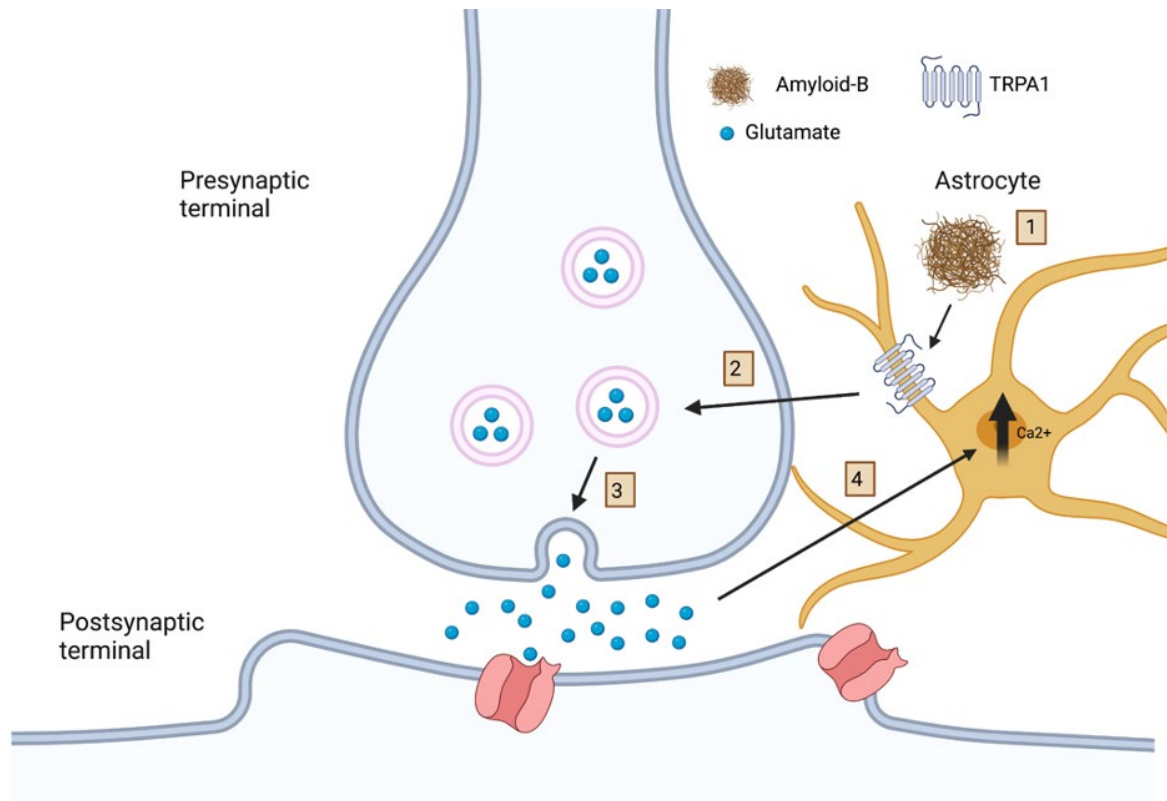
## Astrocytes in the Relationship Between A $\beta$ Accumulation & Synaptic Dysfunction in AD

Astrocytes have been implicated in the pathogenesis of AD through their complex involvement in the relationship between A $\beta$  accumulation and synaptic dysfunction. The inflammatory mechanisms induced by A $\beta$  accumulation can result in rapid astrogliosis in AD. One study using both *in vivo* and *in vitro* approaches showed that A $\beta$  accumulation was proven to stimulate the inflammatory causing enzyme inducible nitric oxide synthase (iNOS) protein expression through the production of TNF $\alpha$ , a proinflammatory cytokine that contributes to neuroinflammation and extracellular signaling (Medeiros et al., 2007). TNF $\alpha$  and iNOS were then shown to be contributing to the rapid activation of astrocytes within A $\beta$ -treated mice. The increased production of reactive astrocytes via A $\beta$  induced proinflammatory systems could potentially contribute to the excess release of mGluR5 and GABA in astrogliosis. Furthermore, iNOS has been shown to produce NO at high concentrations. NO has been shown to be neuroprotective in low concentrations and neurotoxic at higher concentrations (Steinert et al., 2010). Thus, increased iNOS production could be associated with the further pathogenesis of AD. Thus, resulting in further dysfunction of neuronal excitability and synaptic plasticity in AD.

Furthermore, TNF $\alpha$  has been proven to contribute to other A $\beta$  induced astrocytic impairments through A $\beta$ 3(pE)-42, the amino-terminally truncated, oligomeric, pyroglutamated form of A $\beta$  (Saidos et al., 1995). A $\beta$ 3(pE)-42 accumulates during the early stages of AD, implying that the peptide is a seeding species and plays an important role in the formation of A $\beta$  aggregates (Saido et al., 1995). One study investigated how the A $\beta$  oligomeric species A $\beta$ 3(pE)-42 and A $\beta$ 1-42 contributed to early synaptic dysfunction through glial cells like astrocytes (Grochowska et al., 2017). A $\beta$ 3(pE)-42 is more tightly associated with astroglia as it is taken up by astrocytes to induce the glial release of TNF $\alpha$  resulting in A $\beta$ 3(pE)-42 causing stronger astroglial proliferation in organotypic hippocampal slices than A $\beta$ 1-42. This finding is logical as microglial activation and astroglial proliferation in AD is prominently triggered by A $\beta$ 3(pE)-42 which could be connected to early synaptic dysfunction in AD. Additionally, the treatment of primary hippocampal culture with a conditioned medium from astrocytes induced significant synapse loss only in the case of A $\beta$ 3(pE)-42. The data from the study remained consistent in this regard as it suggested that synaptic dysfunction caused by A $\beta$ 3(pE)-42 required glial uptake and the release of astrocytic TNF $\alpha$ . The results from this indicate how A $\beta$  oligomers can trigger synaptic dysfunction during the early stages of AD via astrocytic pathological signaling pathways.

Soluble A $\beta$  peptides affect Ca<sup>2+</sup> activity in astrocytes to further mediate synaptic dysfunction. One study investigated the astrocytic calcium activity in mouse CA1 hippocampus *stratum radiatum* and found that soluble A $\beta$  oligomers caused fast and extensive calcium hyperactivity within astrocytes resulting in early synaptic dysregulation and depression (Bosson et al., 2017). The astrocytic Ca<sup>2+</sup> hyperactivity was in part induced by the transient receptor potential A1 (TRPA1) channels which were proven to exert strong influences on local synaptic function and were linked to the glutamatergic synapse hyperactivity in CA1 neurons. These findings suggest that astrocytes are targeted by soluble A $\beta$  oligomers resulting in early synaptic dysregulation in AD.

The A $\beta$ 25-35 peptide, a neurotoxic A $\beta$  fragment found in AD, caused Ca $^{2+}$  levels alteration alongside glutamate release (Pham et al., 2021). A $\beta$ 25-35 induced Ca $^{2+}$  reduction within A $\beta$ -preconditioned astrocytes as a result of the plasma membrane Ca $^{2+}$  ATPase (PMCA). A $\beta$ 25-35 also causes ATP release through the CX hemichannels, which dysregulates synaptic transmission. The A $\beta$  oligomers triggering the release of glutamate from astrocytes has been implied to be mediated by the Best-1 channels through Ca $^{2+}$  activation (Han et al., 2013). Best-1 is involved in excessive GABA release in certain AD mouse models resulting in the inhibition of LTP (Jo et al., 2014). These studies implicate a connection between astrocytic glutamate and GABA release inducing LTP suppression and LTD induction.



**Figure 8.** Depicts the process Ca $^{2+}$  being mediated by synaptic glutamate via A $\beta$ . 1) A $\beta$  activates TRPA1 channel. 2) TRPA1 transmits signals that causes synaptic vesicles to become hyperactive. 3) Hyperactivity in synapse results in increased glutamate release in the synaptic cleft 4) Increase in excess glutamate in synaptic cleft results in increased Ca $^{2+}$  in Astrocytes.

Soluble A $\beta$  oligomers have been shown to decrease the activation of glutamate transporters, therefore impairing synaptic plasticity (Huang et al., 2018). Utilizing field excitatory postsynaptic potentials (fEPSP) recordings were made of the synaptic activity in the CA1 region of mouse hippocampal slices. The study found that soluble A $\beta$  oligomers inhibited LTP and facilitated LTD through the interruption of the glutamate transporter function. A $\beta$  oligomers also decreased the expression of astrocytic glutamate transporters EAAT1 and EAAT2 in cultured astrocytes in order to shift the direction of synaptic plasticity to favor LTD. These results support the idea that A $\beta$  increased extracellular glutamate concentration by inhibiting astrocytes uptake, therefore increasing the time glutamate spends in the synaptic gap (Scimemi et al., 2013).

Interactions between A $\beta$  oligomers, the astrocytic gliotransmitters ATP, and the glutamate receptor mGluR5 contribute further to neuronal degeneration in AD. mGluR5 in astrocytes are a target for A $\beta$  oligomers



to bind to and are associated with AD pathology. One group of researchers investigated the connections between A $\beta$  oligomers, mGluR5, ATP, and excitatory synapses and found that a co-accumulation of A $\beta$  oligomers and mGluR5 occur at excitatory synapses (Shrivastava et al., 2013). They further investigated how the association between the mGluR5 and ATP affected A $\beta$  oligomers interactions with astrocytes. The results of their study showed that A $\beta$  oligomers bind and cluster to astrocytes plasma membrane, mGluR5 activation and Ca<sup>2+</sup>-dependent astrocytic ATP-release occur in the presence of A $\beta$  oligomers, and an ATP-dependent slow-down of astrocyte and neuronal mGluR5 diffusion rate. Furthermore, the ATP expressed in astroglia can likely contribute to glutamate release by astrocytes causing the slow-down of neuronal mGluR5 which could possibly have an effect on synaptic transmission and Ca<sup>2+</sup> excitability in AD. These findings imply that the rate and function of astrocyte gliotransmission is changed by A $\beta$  oligomers, thus causing changes in synaptic communication and transmission.

Studies have shown that the connexin 43 (Cx43) hemichannel mediates the A $\beta$  peptide induction of ATP from astrocytes (Kajiwara et al., 2018). Furthermore, Cx43 is upregulated in AD mouse models and AD human brains (Nagy et al., 1996). Additionally, A $\beta$  peptides have been shown to enhance ATP release in astrocytic cultures and hippocampal slices in AD (Haughey & Mattson, 2003). Exposure of astrocytes to A $\beta$ 1-42 increased the amplitude of calcium waves mediated by increased ATP release. ATP release from astrocytes may further the pathogenesis of AD. ATP activation of calcium-permeable channels including P2X7 receptors or the activation of NMDAR receptors could reduce the survival of neurons in AD (Orellana et al., 2011). Dysregulated release of gliotransmitters like glutamate and ATP from reactive astrocytes in AD can induce neurotoxicity leading to memory impairments.

## Conclusion

In AD, astrocytes play an essential role in the relationship between A $\beta$  accumulation and synaptic dysfunction. Astrocytes undergo reactive astrogliosis in AD as a response to various AD-associated pathological conditions including neuroinflammation and soluble A $\beta$  oligomers. Astrocytes contribute to A $\beta$  accumulation in AD in two main ways: one, they express the A $\beta$  precursor proteins BACE1 and APP and two, they decrease A $\beta$  deposition within astrocytes by engulfing A $\beta$ 42 protofibrils but not degrading them. The feed-forward mechanism hypothesis proposes that proinflammatory cytokines including TNF $\alpha$  and IFN $\gamma$  induce APP and BACE1 expression in astrocytes to create A $\beta$ 42 oligomers, resulting in a vicious cycle of A $\beta$  production. Astrocytes release gliotransmitters including glutamate, ATP, and GABA as well as the glutamate receptor mGluR5 through gliotransmission causing astrocytes to regulate calcium levels, LTP induction, and synaptic plasticity. Astrocytic gliotransmission has been shown to increase intracellular astrocytic calcium levels, causing astrocytes to mediate synaptic transmission as seen in the tripartite synapse model (Figure 1). It can be concluded that A $\beta$  accumulation triggers dysfunctions in astrocyte gliotransmission, thus inducing synaptic transmission impairments and synaptic dysfunction in AD. The accumulation of soluble A $\beta$  oligomers has been shown to trigger higher rates of reactive astrogliosis, decrease activation of glutamate transporters, and slow mGluR5 and ATP activation in astrocytes all of which induce synaptic degeneration in AD. Additionally, the release of astrocytic TNF $\alpha$  via A $\beta$ 3(pE)-42 and increases in calcium levels in astrocytes via A $\beta$  accumulation result in further synaptic loss in AD.

There have been various therapeutic treatments targeting astrocytes' ability to impair A $\beta$  aggregation and synaptic dysfunction in AD. Studies that use the AD mouse model APP<sup>swe</sup>PS1<sup>dE9</sup> (increased APP levels) and specifically target reactive astrocytes to reduce astrogliosis show improved cognitive abilities (Smit et al., 2021). These findings indicate that targeting reactive astrocytes should be included when creating novel therapies for AD. Additionally, studies that downregulated A $\beta$ -induced inflammasome in astrocytes and in 5xFAD mice resulted in the increasing of astrocytes phagocytosis of A $\beta$  in vivo (McManus et al., 2017) and in vitro (Couturier et al., 2016), thus decreasing A $\beta$  accumulation. This provides another potential therapeutic route for

AD that targets astrocytes phagocytic abilities. Although astrocytes have shown to have a strong connection in the link between A $\beta$  accumulation and synaptic dysfunction in AD, there are still various unknowns about mechanisms and pathways that connect them. Further research is needed to determine how exactly astrocytic A $\beta$  accumulation and astrocytic gliotransmission result in synaptic dysfunction and loss in AD. Further exploring the role of astrocytes in the relationship between A $\beta$  accumulation and synaptic dysfunction would allow for better understanding in astrocytes contribution to AD pathogenesis.

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